

## **Conflict-Free Gold Report for Gold Fields Limited 2015**

Gold Fields Limited (Gold Fields) is committed to being the global leader in sustainable gold mining. We acknowledge that part of operating responsibly and maintaining the trust of our stakeholders requires us to demonstrate that the gold and gold-bearing materials we produce have been done so in a manner that does not cause, support or benefit unlawful armed conflict, or contribute to serious human rights abuses or breaches of international humanitarian law. As such, Gold Fields has adopted and implemented the Conflict-Free Gold Standard ('the Standard') to further demonstrate our commitment to responsible mining.

This Conflict-Free Gold Report summarises Gold Fields conformance to the requirements of the Standard for the year ended 31 December 2015.

### ***Responsibility for Conformance***

Responsibility for the implementation of the Standard is held by the Vice-President, Group Sustainable Development, who reports to the Executive Vice-President of Group Sustainable Development. Both these positions are responsible for reporting on Gold Fields conformance with the Standard to the relevant sub-committees of the Gold Fields Board.

### ***Reporting Boundary***

The reporting boundary of this Conflict-Free Gold Report includes all mining and processing operations over which Gold Fields has direct control, as listed in *Schedule A* of this report. This is consistent with the reporting boundaries that Gold Fields publicly discloses in the Gold Fields Integrated Annual Report.

### ***Standard's Requirements***

The Standard is comprised of assessment Parts A–E:

- Part A – Conflict Assessment
- Part B – Company Assessment
- Part C – Commodity Assessment
- Part D – Externally Sourced Gold Assessment, and
- Part E – Management Statement of Conformance.

Part A of the Standard requires companies to assess whether the mining and onward transport of gold takes place in breach of international sanctions and to undertake a risk assessment based upon the recognition of conflict. Regarding the latter, operations located in an area ranked as 5 (war) or 4 (limited war) within the last two years by the Heidelberg Conflict Barometer have been classified as 'conflict-affected or high-risk' and must complete all remaining assessments in Parts B–E of the Standard. For operations not considered in a 'conflict-affected or high-risk' area and where the company does not transport gold while in the custody of the producer, as defined by the Standard, the remaining assessments are Parts D and E.

### **Gold Fields Evaluation**

Following our Part A – Conflict Assessment, Gold Fields concluded that we did not breach any international sanctions and we have no mines considered to be in ‘conflict-affected or high-risk’ areas, as determined by the 2015 assessment published in Heidelberg Conflict Barometer. It was also evident from our review that none of the countries where Gold Fields has operations are subject to economic, financial or arms sanctions. In this regard, the websites of the following supra-national bodies were reviewed to determine if any of the countries mentioned in Schedule A of this report were the subject of international sanctions:

- The United Nations Security Council ([www.un.org/sc/committees](http://www.un.org/sc/committees))
- The European Union ([www.europa.eu](http://www.europa.eu))
- Global Governance Monitor ([www.cfr.org/global-governance/global-governance-monitor](http://www.cfr.org/global-governance/global-governance-monitor))
- 2015 List of Ongoing Armed Conflicts (<https://en.wikipedia.org>)

Based on the results of our Part A assessment, it is only necessary to further demonstrate conformance with Parts D and E of the Standard. In this regard, Gold Fields also complied with Part D – Externally Sourced Gold Assessment as we do not source gold from third parties, and implemented an appropriate Management Statement of Conformance documentation as required in Part E. A copy of our Group Management Statement of Conformance can be found at [https://www.goldfields.co.za/sus\\_reporting.php](https://www.goldfields.co.za/sus_reporting.php).

In conclusion, Gold Fields was in conformance with the criteria set out in the Conflict-Free Gold Standard for the reporting year ending 31 December 2015.

### **Independent Assurance**

Gold Fields engaged the services of the assurance provider KPMG Services (Pty) Limited, and their independent limited assurance report can be viewed at [https://www.goldfields.co.za/sus\\_reporting.php](https://www.goldfields.co.za/sus_reporting.php). The Standard includes several areas where evidence of public disclosure is required. The following information can be viewed on our website:

1. Public commitment(s) to human rights ([http://www.goldfields.co.za/sus\\_policies.php](http://www.goldfields.co.za/sus_policies.php) and [http://www.goldfields.co.za/au\\_ethics.php](http://www.goldfields.co.za/au_ethics.php), Integrated Annual Report 2015: p35, p120.
2. Disclosure of payments to governments and government entities, Integrated Annual Report 2015: p35

Local stakeholders can raise concerns through a variety of forums, including those described on p90 of Gold Fields Integrated Annual Report 2015, local grievance mechanisms as well as via Gold Fields website ([http://www.goldfields.co.za/contacts\\_main.php](http://www.goldfields.co.za/contacts_main.php)). If users of this Report wish to provide any feedback to Gold Fields with respect to the Conflict-Free Gold Report, they can contact Corporate Sustainable Development on [Sustainable.Development@goldfields.co.za](mailto:Sustainable.Development@goldfields.co.za).

## **Schedule A: Mines Covered Under Gold Fields Conflict-Free Gold Report**

The following mines are included in Gold Fields Conflict-Free Gold Report for the period 1 January 2015 to 31 December 2015:

- Agnew/Lawlers (Western Australia, Australia)
- St. Ives (Western Australia, Australia)
- Darlot (Western Australia, Australia)
- Granny Smith (Western Australia, Australia)
- South Deep (Johannesburg, South Africa)
- Tarkwa (Ghana, West Africa)
- Damang (Ghana, West Africa)

This report does not include exploration sites, projects under development or Gold Fields Cerro Corona Mine (Peru, South America). The latter is excluded from this report as the mine produces a copper concentrate, which falls outside of the criterion in relation to the 'Nature of Gold Production', as defined in Part C of the Standard.